

**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN**

As on financial year ended on 31.03.2019

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014.**

I. REGISTRATION & OTHER DETAILS:

| | | |
|----|--|---|
| 1. | CIN | U52100MH2005PTC156774 |
| 2. | Registration Date | 17 th October 2005 |
| 3. | Name of the Company | SMS ENVOCLEAN PRIVATE LIMITED |
| 4. | Category/Sub-category of the Company | Private Company Limited by Shares/Non Government Company |
| 5. | Address of the Registered office & contact details | 267, Ganesh Phadnavis Bhavan, Triangular Park, Dharampeth, Nagpur, Maharashtra, - 440010 |
| 6. | Whether listed company | No |
| 7. | Name, Address & contact details of the Registrar & Transfer Agent, if any. | Link Intime India Private Limited Vikhroli (West) C101, 247 Park, L B S Marg Mumbai, 400083 Phone: +91 022 49186000 |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

| S. No | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the company |
|-------|--|---------------------------------|------------------------------------|
| 1 | Treatment and disposal of hazardous waste | 3822 | 82.99 |
| 2. | Sale of Products | 46596 | 17.01 |

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

No. of Companies for which information is being filled - 1.

| S. No | NAME AND ADDRESS OF THE COMPANY | CIN/GLN | HOLDING/ SUBSIDIARY /ASSOCIATE | % of shares held | Applicable Section |
|-------|---|-----------------------|--------------------------------|------------------|--------------------|
| 1 | SMS LIMITED Regd. Office: II park, 20 S.T.P.I, Gayatri Nagar, Parsodi, Nagpur 440022. | U45201MH1997PLC107906 | Holding Company | 56.02 | Sec 2(46) |

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

| Category of Shareholders | No. of Shares held at the beginning of the year [As on 31-March-2018] | | | | No. of Shares held at the end of the year[As on 31-March-2019] | | | | % Change during the year |
|---|---|----------------|----------------|-------------------|--|----------------|----------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| A. Promoter s | | | | | | | | | |
| (1) Indian | | | | | | | | | |
| a) Individual/HUF | -- | 1856442 | 1856442 | 43.98 | -- | 1856442 | 1856442 | 43.98 | No change |
| b) Central Govt | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| c) State Govt(s) | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| d) Bodies Corp. | -- | 2364558 | 2364558 | 56.02 | -- | 2364558 | 2364558 | 56.02 | No change |
| e) Banks / FI | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| f) Any other | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Total shareholding of Promoter (A) | -- | 4221000 | 4221000 | 100 | -- | 4221000 | 4221000 | 100 | No change |
| (2) Foreign | | | | | | | | | |
| a) NRIs - Individuals | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| b) Other – Individuals | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| c) Bodies Corp. | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| d) Banks / FI | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| e) Any Other.... | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Sub-total (A) (2):- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Total shareholding of Promoter (A) = (A)(1)+(A)(2) | -- | 4221000 | 4221000 | 100 | -- | 4221000 | 4221000 | 100 | No change |
| B. Public Shareholding | | | | | | | | | |
| h) Foreign Venture Capital Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- |

| | | | | | | | | | |
|--|----|----------------|----------------|------------|----|----------------|----------------|------------|------------------|
| i) Others (specify) | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Sub-total (B)(1):- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | | | | | | | | | |
| 2. Non-Institutions | | | | | | | | | |
| a) Bodies Corp. | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| i) Indian | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| ii) Overseas | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| b) Individuals | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| i) Individual shareholders holding nominal share capital upto Rs. 1 lakh | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| c) Others (specify) | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Non Resident Indians | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Overseas Corporate Bodies | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Foreign Nationals | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Clearing Members | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Trusts | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Foreign Bodies - D R | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Sub-total (B)(2):- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Total Public Shareholding (B)=(B)(1)+(B)(2) | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| C. Shares held by Custodian for GDRs & ADRs | | | | | | | | | |
| Grand Total (A+B+C) | -- | 4221000 | 4221000 | 100 | -- | 4221000 | 4221000 | 100 | No change |

ii) Shareholding of Promoter-

| S N | Shareholder's Name | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | % change in sharehold ing during the year |
|--------------|-----------------------|--|--|--|--|---|--|---|
| | | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | |
| 1 | SMS Limited | 2364558 | 56.02 | -- | 2364558 | 56.02 | -- | -- |
| 2 | Amit Nilawar | 1854342 | 43.93 | -- | 1854342 | 43.93 | -- | -- |
| 3 | Chetan Bora | 1890 | 0.04 | -- | 1890 | 0.04 | -- | -- |
| 4 | Rajiv Nilawar | 105 | 0.00 | -- | 105 | 0.00 | -- | -- |
| 5 | Ramesh Buch | 105 | 0.00 | -- | 105 | 0.00 | -- | -- |
| Total | | 4221000 | 100 | -- | 4221000 | 100 | -- | No change |

iii) Change in Promoters' Shareholding (please specify, if there is no change)

There is no change in shareholding of promoters during the F.Y. 2018-19

| SN | Particulars | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|----|---|--|---|--|--|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| | At the beginning of the year | -- | -- | -- | -- |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.): | -- | -- | -- | -- |
| | At the end of the year | -- | -- | -- | -- |

**iv) Shareholding Pattern of top ten Shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

There are no Shareholders other than Directors, Promoters

| SN | For Each of the Top 10 Shareholders | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|----|--|--|-----------------------------|---|-----------------------------|
| | | No. of shares | % of total shares of the | No. of shares | % of total shares of the |

| | | | | | |
|--|--|----|---------|----|---------|
| | | | company | | company |
| | At the beginning of the year | -- | -- | -- | -- |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc): | -- | -- | -- | -- |
| | At the end of the year | -- | -- | -- | -- |

v) **Shareholding of Directors and Key Managerial Personnel:**

| SN | Shareholding of each Directors and each Key Managerial Personnel | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|----|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 01 | Shri Chetan Bora | | | | |
| | At the beginning of the year | 1890 | 0.04% | 1890 | 0.04% |
| | Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.): | -- | -- | -- | -- |
| | At the end of the year | 1890 | 0.04% | 1890 | 0.04% |

V. **INDEBTEDNESS -Indebtedness** of the Company including interest outstanding/accrued but not due for payment

| | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|----------------------------------|---------------------|----------|-----------------------|
| Indebtedness at the beginning of the financial year | | | | |
| i) Principal Amount | 3,06,99,050.47 | 29,10,397.30 | -- | 3,36,09,447.77 |
| ii) Interest due but not paid | -- | -- | -- | -- |
| iii) Interest accrued but not due | 2,72,605.69 | -- | -- | 2,72,605.69 |
| Total (i+ii+iii) | 3,09,71,656.16 | 29,10,397.30 | -- | 3,38,82,053.46 |
| Change in Indebtedness during the financial year | | | | |
| * Addition | 47,14,242 | -- | -- | 47,14,242 |
| * Reduction | 53,25,747.49 | -- | -- | 53,25,747.49 |
| Net Change | (6,11,505.49) | -- | -- | (6,11,505.49) |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 2,54,43,131.67 | 29,10,397.30 | -- | 28353528.97 |
| ii) Interest due but not paid | -- | -- | -- | -- |

| | | | | |
|-----------------------------------|-----------------------|---------------------|-----------|-----------------------|
| iii) Interest accrued but not due | 2,46,019 | -- | -- | 2,46,019 |
| Total (i+ii+iii) | 2,56,89,150.67 | 29,10,397.30 | -- | 2,85,99,547.97 |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL -

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| S N. | Particulars of Remuneration (in Rs.) | Name of Director | Total Amount |
|------|---|--|--------------|
| | | Shri Saurabh Gautam | |
| | | Whole-time Director | |
| 1 | Gross salary | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 10,75,526 | 10,75,526 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | -- | |
| | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961 | -- | |
| 2 | Stock Option | -- | |
| 3 | Sweat Equity | -- | |
| 4 | Commission - as % of profit - others, specify... | -- | |
| 5 | Others, please specify, contribution to PF | 21600 | 21,600 |
| | Total (A) | 10,97,126 | 10,97,126 |
| | * Ceiling as per the Act | *Rs. 30 Lac for a managerial personnel | |

*The ceiling of remuneration is governed by Section II of Part II of Schedule V of the Companies Act, 2013

B. Remuneration to other directors No Remuneration was paid to other Directors

| SN. | Particulars of Remuneration | Name of Directors | | | | Total Amount |
|-----|--|-------------------|------|------|-----|--------------|
| | | ---- | ---- | ---- | --- | |
| 1 | Independent Directors | -- | -- | -- | -- | -- |
| | Fee for attending board committee meetings | -- | -- | -- | -- | -- |
| | Commission | -- | -- | -- | -- | -- |
| | Others, please specify | -- | -- | -- | -- | -- |
| | Total (1) | -- | -- | -- | -- | -- |
| 2 | Other Non-Executive Directors | -- | -- | -- | -- | -- |
| | Fee for attending board committee meetings | -- | -- | -- | -- | -- |
| | Commission | -- | -- | -- | -- | -- |
| | Others, please specify | -- | -- | -- | -- | -- |

| | | | | | | |
|--|--------------------------------|----|----|----|----|----|
| | Total (2) | -- | -- | -- | -- | -- |
| | Total (B)=(1+2) | -- | -- | -- | -- | -- |
| | Total Managerial Remuneration | -- | -- | -- | -- | -- |
| | Overall Ceiling as per the Act | -- | -- | -- | -- | -- |

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

| SN | Particulars of Remuneration (in Rs.) | Key Managerial Personnel | |
|----|---|--------------------------|----|
| | | | |
| 1 | Gross salary | -- | -- |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | -- | -- |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | -- | -- |
| | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | -- | -- |
| 2 | Stock Option | -- | -- |
| 3 | Sweat Equity | -- | -- |
| 4 | Commission | -- | -- |
| | - as % of profit | -- | -- |
| | others, specify... | -- | -- |
| 5 | Others, please specify | -- | -- |
| | Total | -- | -- |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

| Type | Section of the Companies Act | Brief Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give Details) |
|-------------------------------------|------------------------------|-------------------|---|------------------------------|------------------------------------|
| A. COMPANY | | | | | |
| Penalty | -- | -- | -- | -- | -- |
| Punishment | -- | -- | -- | -- | -- |
| Compounding | -- | -- | -- | -- | -- |
| B. DIRECTORS | | | | | |
| Penalty | -- | -- | -- | -- | -- |
| Punishment | -- | -- | -- | -- | -- |
| Compounding | -- | -- | -- | -- | -- |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | -- | -- | -- | -- | -- |

| | | | | | |
|-------------|----|----|----|----|----|
| Punishment | -- | -- | -- | -- | -- |
| Compounding | -- | -- | -- | -- | -- |

For and on behalf of the Board of Directors

SD/-

Saurabh Gautam
Director
[DIN: 06872622]

SD/-

Anup Nilawar
Director
[DIN: 03533453]

Date : 23rd August, 2019
Place : Nagpur